



How do I buy stocks or ETFs?

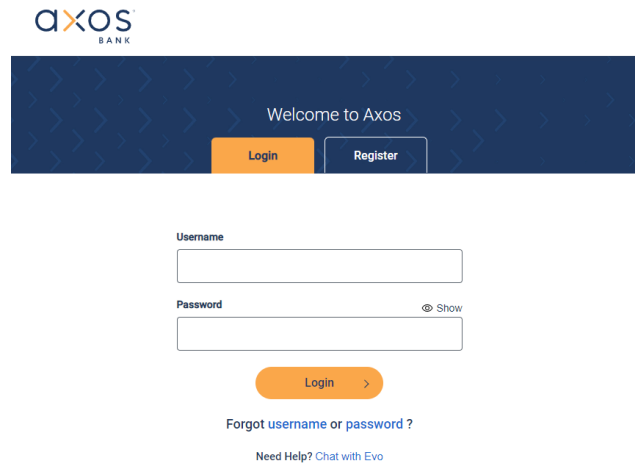
How to buy stocks or ETFs with Axos Invest - Desktop

*Indicates feature(s) available with Axos Elite Subscription: Axos Elite boosts your investment capabilities. Membership provides an advanced variety of investment instruments and enhanced personalization.

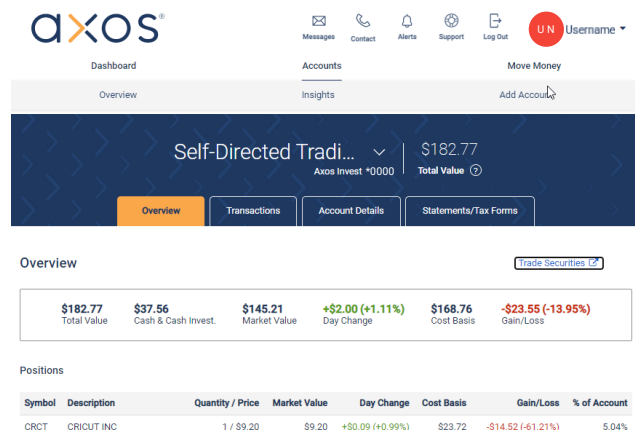
- › Real-time market data and premium TipRanks™ research
- › Extended trading hours
- › Margin trading
- › Discounted rates and fees

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1. Log into your [Axos Bank](#) account on desktop or through [AxosFundFinder.com](#) and navigate to your **Self-Directed Trading Account** within the My Dashboard **Account Overview** tile.



2. Click the **Trade Securities** link in the Self-Directed Trading Account Overview Tab.





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- Use the **Find** search bar in the upper right corner of the trading tool, the **Quick Quotes** search bar on the left or click the **Trading** menu option to search for a stock or ETF by symbol (i.e., Apple = AAPL) or click on a stock or ETF in your **Watchlist**.

The screenshot shows the Axos Invest desktop interface. At the top, the account balance is \$100,000.00. The main navigation bar includes Home, My Account, Trading, Orders, Research, and My Menu. On the left, there is a 'Quick quotes' search bar and a 'Your accounts' section listing three accounts for Alex Oats. The central area displays 'Account balance' with available buying power of \$100,000.00, 'Portfolio overview' showing a value of \$0.00, and 'Markets' with a candlestick chart for SPDR Dow Jones ETF and a table of market data including Nasdaq 100 ETF and SPDR S&P 500 ETF.

- This will take you to the stock or ETF details page where you can find the historical performance, analyst ratings*, exposure, and other helpful information when considering trading.

*Analyst Ratings are an Axos Elite Subscription feature

The screenshot shows the detailed stock page for Apple Inc. (AAPL). The current price is \$143.39, down 0.47 (-0.33%) from the previous close. The page includes a 'US Market Overview' sidebar, a 'Market Dashboard' with various indicators like Bollinger, RSI, and MACD, and an 'Intraday chart' showing price movement from 9:30 AM to 4:00 PM. A 'Trade AAPL' button is visible at the bottom of the chart area.

- Below the stock or ETF details menu, click the green **Trade SYMB** button

The screenshot shows the trading form for Apple Inc. (AAPL). The form includes fields for 'Account number' (49515532), 'Action' (Buy/Sell), 'Quantity', 'Type' (Limit), 'Limit price', 'Duration' (Day or GTC), 'Fill type' (ANY or AON), and 'Order value'. A green 'Continue' button is at the bottom of the form.



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6. Select **Buy** from the **Action** drop-down menu

APPLE INC (NASDAQ/NGS: AAPL) SHORTABLE (0%) 20MIN DELAYED QUOTES

Hardware Earnings: Oct 26, 2022 Market cap: 2,311,938.38M P/E: 23.78

143.39 -0.47 (-0.33%)
4:00:00 PM Oct 20, 2022

Bid	---	Prv Close	143.66	52 Wk Hi	182.94
Bid Sz	---	Open	143.02	52 Wk Lo	129.04
Ask	---	Day High	145.89	Volume	64,507,194
Ask Sz	---	Day Low	142.65	30 D Av Vol	95,956,593

[Chart](#) [Profile](#) [Option chain](#) [News](#) [Upgrades/Downgrades](#) [Performance](#) [ETFs](#) [Ownership](#) [Dividends](#) [More...](#)

[Close trading screen](#)

Trading form AAPL

Account number

Action: Buy (dropdown menu open with options: Buy, Sell, Short Sell, Buy to Cover)

Quantity: [] +/-

Type: [] +/-

Stop price: [] +/-

Duration: Day GTC

Fill type: ANY AON

Order value: []

[Continue](#)

7. Enter the number of shares you want to buy

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143.39 -0.47 (-0.33%)
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Bid	---	Prv Close	143.66	52 Wk Hi	182.94
Bid Sz	---	Open	143.02	52 Wk Lo	129.04
Ask	---	Day High	145.89	Volume	64,510,331
Ask Sz	---	Day Low	142.65	30 D Av Vol	95,956,593

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[Close trading screen](#)

Trading form AAPL

Account number

Action: Buy

Quantity: 5 +/-

Type: Limit

Limit price: [] +/-

Duration: Day GTC

Fill type: ANY AON

Order value: []

[Continue](#)



How to buy stocks or ETFs with Axos Invest - Desktop

- Select the order **Type** you would like to place if you do not want to use the default selection and fill out the related fields specific to your selected order type

Order Types:

Limit: A Limit order buys a stock at (or below) a specific price you target, or sells a stock at (or above) a price you target—and it only executes if you get your price or better.

Market: A Market order will be executed immediately at the best price currently available on the market

Stop: A Stop order sells a security if its price falls below a specified point and is used to limit (i.e., "stop") losses or lock in profits.

Stop Limit: A Stop Limit order will be executed at a specified price, or better after a given stop price has been reached.

- Select your desired **Market Session***

*Market Session selection is an Axos Elite subscription feature

Available Market Sessions:

Pre-Market: 4:00am to 9:25am ET

Market: 9:30am to 4:00pm ET

Post-Market: 4:01PM to 7:00pm ET

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4:00:00 PM Oct 20, 2022

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Bid Sz	—	Open	143.02	52 Wk Lo	129.04
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Ask Sz	—	Day Low	142.65	30 D Av Vol	95,956,593

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Close trading screen

Trading form AAPL

Account number

Action: Buy

Quantity: 5

Type: Limit

Limit price: [input field]

Duration: Day

Fill type: ANY AON

Order value: [input field]

Continue

Apple Inc (NASDAQ/NGS: AAPL) STRONG BUY

Hardware Earnings: Oct 27, 2022 Market cap: 2,400,166,81M P/E: 24.69

146.03 -3.33 (-2.23%)
11:44:38 AM Oct 27, 2022

Bid	146.02	Prv Close	149.35	52 Wk Hi	182.94
Bid Sz	400	Open	148.07	52 Wk Lo	129.04
Ask	146.03	Day High	149.05	Volume	32,798,250
Ask Sz	100	Day Low	145.54	30 D Av Vol	93,344,531

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Close trading screen

Trading form AAPL

Account number

Action: Buy

Quantity: 5

Type: Market

Market session: Market hours (9:30am)

Duration: [input field]

Fill type: ANY AON

Order value: 729.89

Continue



How to buy stocks or ETFs with Axos Invest - Desktop

10. Select your desired order **Duration** if you do not want to use the default selection

Duration:

Day: An order will remain in effect for one day, or until it is fully executed or canceled.

GTC: An order will remain in effect indefinitely, or until it is fully executed or canceled.

APPLE INC (NASDAQ/NGS: AAPL) SHORTABLE (0%) 20MIN DELAYED QUOTES

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Bid	—	Prv Close	143.86	52 Wk Hi	182.94
Bid Sz	—	Open	143.02	52 Wk Lo	129.04
Ask	—	Day High	145.89	Volume	64,521,989
Ask Sz	—	Day Low	142.65	30 D Av Vol	95,956,593

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Close trading screen

Trading form AAPL

Account number

Action Buy

Quantity 5

Type Market

Duration Day GTC

Fill type ANY AON

Order value 716.95

Continue

11. Select your desired **Fill Type** if you do not want to use the default selection

Fill Types:

ANY: Any orders may partially buy or partially sell available shares specified in a single transaction. There may be remaining shares on the order until it is fully executed or canceled.

AON: All-or-None orders must buy or sell the full quantity of shares specified in a single transaction, or it won't execute at all.

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Close trading screen

Trading form AAPL

Account number

Action Buy

Quantity 5

Type Market

Duration Day GTC

Fill type ANY AON

Order value 716.95

Continue

12. Review the estimated **Order value** that will calculate based on your selections in the previous steps

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Trading form AAPL

Account number

Action Buy

Quantity 5

Type Market

Duration Day GTC

Fill type ANY AON

Order value 716.95

Continue



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13. Click **Continue** to review your order

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Close trading screen

Trading form AAPL

Account number

Action: Buy

Quantity: 5

Type: Market

Duration: Day GTC

Fill type: ANY AON

Order value: 716.95

Continue

14. Review your order and confirm that all the details are correct. If you would like to edit your order, click < **Make Corrections**

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Close trading screen

Order preview

Account number	
Position Type	Margin
Action	Buy
Quantity	5
Type	Market
Expected price	142.72
Duration	Day
Fill type	ANY

Cancel < I confirm this order >

NBBO quote

Last price ¹	143.39
Last trade ¹	5,250,532 @ 143.39 (NASD ADF)
Bid x Bid Sz ²	142.66 x 100 (ARCA)
Ask x Ask Sz ²	142.72 x 400 (ARCA)

15. Click **I confirm this order >** to submit your order

APPLE INC (NASDAQ/NGS: AAPL) SHORTABLE (0%) 20MIN DELAYED QUOTES

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Close trading screen

Order preview

Account number	
Position Type	Margin
Action	Buy
Quantity	5
Type	Market
Expected price	142.72
Duration	Day
Fill type	ANY

Cancel < I confirm this order >

NBBO quote

Last price ¹	143.39
Last trade ¹	5,250,532 @ 143.39 (NASD ADF)
Bid x Bid Sz ²	142.66 x 100 (ARCA)
Ask x Ask Sz ²	142.72 x 400 (ARCA)



16. The trade is now complete, and you will see your order confirmation

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Order confirmation № CX0102680

Your order has been successfully submitted.
Confirmation number is CX0102680
Status: **Waiting to be sent**

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Mutual funds, closed-end funds and exchange-traded funds are subject to market, exchange rate, political, credit, interest rate, and prepayment risks, which vary depending on the type of fund. International investments involve special risks, including currency fluctuations and political and economic instability. Asset allocation and diversification do not eliminate the risk of experiencing investment losses. Fund purchases may be subject to investment minimums, eligibility and other restrictions, as well as charges and expenses.

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Additional risks may also include, but are not limited to, investments in foreign securities, especially emerging markets, real estate investment trusts (REITs), fixed income, small-capitalization securities, and commodities. Each individual investor should consider these risks carefully before investing in a particular security or strategy. Investment returns will fluctuate and are subject to market volatility, so that an investor's shares, when redeemed or sold, may be worth more or less than their original cost. Unlike mutual funds, shares of ETFs are not individually redeemable directly with the ETF. Shares are bought and sold at market price, which may be higher or lower than the net asset value (NAV).

Before you invest in an ETF or send money, you should read the prospectus of the ETF carefully and consider the investment objectives, risks, charges, and expenses for the ETF. You can receive a prospectus for each ETF by sending a secure message on our online platform.

Particular ETFs may not be appropriate investments for all investors, and there may be other ETFs or investment options available through Axos Invest LLC that are more suitable.